

<div style="display: flex; align-items: center;"> <div> <p style="text-align: center;"><b>UTILITY PATENT APPLICATION TRANSMITTAL</b></p> <p style="text-align: center;">(Only for new nonprovisional applications under 37 CFR 1.53(b))</p> <p>Check Box, if applicable [   ] Duplicate</p> </div> </div>	Attorney Docket No.	030939	Total Pages	
	First Named Inventor or Application Identifier			
	Yasuyuki SUZUKI, Yoshihiro SANPEI, Makoto KOMIYAMA, Kenji OGINO, Raiju OKADA, Shuuhei OKADA and Shin KAMEI			
	Express Mail Label No.			

  

APPLICATION ELEMENTS FOR: <b>SPECTROMETER AND OPTICAL SPECTRUM ANALYZER</b>	ADDRESS TO: Commissioner for Patents MAIL STOP PATENT APPLICATION P. O. Box 1450 Alexandria, VA 22313-1450
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1. ☒ Fee Transmittal Form (Incorporated within this form)  
     (Submit an original and a duplicate for fee processing)
  
2. ☒ Specification Total Pages [52]
  
3. ☒ Drawing(s) (35 USC 113) Total Sheets [6]
  
4. ☒ Oath or Declaration Total Pages [3]
  - a. ☒ Newly executed (original)
  - b. ☐ Copy from prior application (37 CFR 1.63(d))  
     (for continuation/divisional with Box 17 completed).
    - i. ☐ Deletion of Inventor(s)  
 Signed statement attached deleting inventor(s) named in prior application,  
 see 37 CFR 1.63(d)(2) and 1.33(b).
  
5. ☐ Incorporation by reference (useable if box 4b is checked)  
     The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
     Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
     incorporated by reference therein.
  
6. ☐ Microfiche Computer Program (Appendix)
  
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet and documents) to: YOKOGAWA ELECTRIC CORPORATION, Tokyo, Japan  
     **Please publish the assignee data with the application.**
  
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

030939

First Named Inventor or Application Identifier

**Yasuyuki SUZUKI, Yoshihiro SANPEI, Makoto KOMIYAMA,  
Kenji OGINO, Raiju OKADA, Shuuhei OKADA and  
Shin KAMEI**

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10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations (PTO-1449 w/1 ref.)

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☒ [2] Certified copies of Priority Documents are enclosed.

Priority of Japanese application No. 2002-303736 filed on October 18, 2002 is claimed under 35 USC 119; and

Priority of Japanese application No. 2002-313713 filed on October 29, 2002 is claimed under 35 USC 119.

16. ☐ Other \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$750.00
Total Claims	25 - 20	5	x \$18.00	90.00
Independent Claims	2 - 3		x \$84.00	
Multiple Dependent Claims			\$280.00	280.00
Basic Filing Fee				1120.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	40.00
TOTAL				1160.00

UTILITY PATENT  
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

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[XX] A check in the amount of \$1,160.00 is enclosed to cover the filing fee. (\$750.00 for basic filing fee; \$90.00 for 5 additional claims; \$280.00 for multiple dependent claims and \$40.00 for the assignment recordation fee).

[ ] Please charge our Deposit Account No. 01-2340 in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name

William F. Westerman

Reg. No. 29,988

Signature

Date: September 3, 2003

WFW/yap

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